

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chris Catalfo et al. Group Art Unit: 1615
Serial No. 10/624,078 Examiner: Not Assigned
Filed: 7/21/2003 Docket No. 10474-002
For: Compositions and Methods for Treating Baldness Confirmation No. 5990



INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. § 1.56, the reference listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these references can be found in parent application Serial No. 09/789,294 filed February 20, 2001.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted

A handwritten signature in black ink, appearing to read "Timothy H. Van Dyke".

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CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 this 7th day of April 2004.

A handwritten signature in black ink, appearing to read "Alicia Hoffman".
Alicia Hoffman

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number	10/624,078	
		Filing Date	7/21/2003	
		First Named Inventor	Chris Catalfo et al.	
		Group Art Unit	1615	
		Examiner Name		
		Attorney Docket Number	10474-002	
Sheet	1	of	2	3

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,551,554		Herschler	08-16-1968	
		3,989,816		Rajadhyaksha	11-02-1976	
		4,017,641		DiGiulio	04-12-1977	
		4,132,781		Stoughton	02-02-1979	
		4,139,619		Chidsey, III	02-13-1979	
		4,596,812		Chidsey, III	06-24-1986	
		4,761,288		Mezei	08-02-1988	
		4,861,764		Samour et al.	08-29-1989	
		5,030,442		Uster et al.	07-09-1991	
		4,485,054		Mezei et al.	11-27-1984	
		5,620,980		Samour	04-15-1997	
		5,925,679		Mather et al.	07-20-1999	
		4,082,881		Chen et al.	04-04-1978	
		5,763,361		Harris et al.	06-09-1998	
		5,656,300		Levin	08-12-1997	
		5,183,817		Bazzano	02-02-1993	
		5,026,691		Kligman	06-25-1991	
		5,720,946		Takeda et al.	02-24-1998	
		5,618,798		Bar-Shalom	04-08-1997	
		5,609,858		Buck	03-11-1997	
		5,578,599		Diani et al.	11-26-1996	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			WO 92/16236		PCT	10-01-1992		
			WO 97/47276		PCT	12-18-1997		
			WO 97/38728		PCT	10-23-1997		
			WO 97/15558		PCT	05-01-1997		
			WO 97/12602		PCT	04-10-1997		
			WO 97/03638		PCT	02-06-1997		
			WO 94/17776		PCT	08-18-1994		
			WO 95/25500		PCT	09-28-1995		
			WO 95/00495		PCT	01-05-1995		
			EP 0728462 A2		EPO	08-28-1996		
			JP 05286835		JPO	02-11-1993		

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form I449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/624,078
				Filing Date	7/21/2003
				First Named Inventor	Chris Catalfo et al.
				Group Art Unit	1615
				Examiner Name	
Sheet	2	of	23	Attorney Docket Number	10474-002

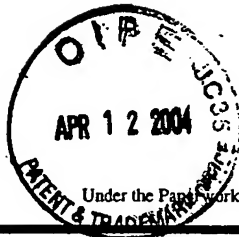
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Substitute for form 1449B/PTO		INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
				Application Number	10/624,078
		Filing Date	7/21/2003		
		First Named Inventor	Chris Catalfo et al.		
		Group Art Unit	1615		
		Examiner Name			
Sheet 13 of 13		Attorney Docket Number	10474-002		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1 ²
		BARRY, B.W. and BENNETT, S.L., "Effect of penetration enhancers on the permeation of mannitol, hydrocortisone and progesterone through human skin", <i>J. Pharm. Pharmacol.</i> , 1987, 535-546: 39.	
		BRODLAND, D.G. and MULLER, S.A., "Androgenetic Alopecia (Common Baldness)", <i>CUTIS</i> , 1991, 173-176:47.	
		KATZ, H.I., "Topical Minoxidil: Review of Efficacy", <i>Clinics in Dermatology</i> , 1998, 195-199: 6.	
		PRAGER, N. et al., "A Randomized, Double-Blind, Placebo-Controlled Trial to Determine the Effectiveness of Botanically Derived Inhibitors of a 5- α -Reductase in the Treatment of Androgenetic Alopecia", <i>The Journal of Alternative and Complementary Medicine</i> , 2002, 143-152:8.	
		PUOLAKKA, JUKKA, "Serum Ferritin in the Evaluation of Iron Status in Young Healthy Women", <i>Acta Obstet Gynecol Scand Suppl.</i> , 1980, 35-41:95.	
		RUSHTON, D. H. and RAMSAY, I. D., "The importance of adequate serum ferritin levels during oral cyproterone acetate and ethinyl oestradiol treatment of diffuse androgen-dependent alopecia in women", <i>Clinical Endocrinology</i> , 1992, 421-427:36.	
		SATTAR, A.B. ET AL., "Chemical composition and biological activity of leaf exudates from some Lamiaceae plants", <i>Pharmazie</i> , 1995, 62-65:50.	

Examiner Signature		Date Considered	
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